

Form 210A (10/06)

**United States Bankruptcy Court  
Southern District Of New York**

In re Lehman Brothers Holdings Inc., et al.,

Case Nos. 08-13555 (JMP)  
(Jointly Administered)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Bank J. Safra Sarasin Ltd, Hong Kong Branch

Name of Transferor

Bank J. Safra Sarasin Ltd  
(formerly Bank Sarasin & Co. Ltd)

Name and Address where notices to transferee should  
be sent:

Baer & Karrer Ltd.  
Attn: Peter Hsu  
Brandschenkestrasse 90  
8027 Zurich  
Switzerland  
E-mail: [peter.hsu@baerkarrer.ch](mailto:peter.hsu@baerkarrer.ch)

Court Claim # (if known): 60405  
Date Claim Filed: 10/30/2009  
Debtor: Lehman Brothers Holdings Inc.  
Transferred Claim Amount:  
US\$ 50,741.92 (allowed)  
US\$ 58,006.23 (claimed)

– with a copy to –

Bank J. Safra Sarasin Ltd, Hong Kong Branch  
Attn: Janis Lim  
c/o 8 Marina View #25-01 Asia Square Tower 1  
Singapore 018960  
E-mail: [Janis.lim@jsafrasarasin.com](mailto:Janis.lim@jsafrasarasin.com)


Last Four Digits of Acct #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments  
should be sent (if different from above):

Deutsche Bank Trust Company  
Americas, New York  
SWIFT : BKTRUS33  
In favour of Bank J. Safra Sarasin Ltd, Hong Kong Branch  
SWIFT : SARAHHKH  
Account No: 04-441-735

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   \_\_\_\_\_

Date: 9th Sept 2013

Transferee

Name: Patsy Lee / Janis Lim

Title: Executive Director / Vice President



SARASIN

Nachhaltiges Schweizer Private Banking seit 1841.

EVIDENCE OF TRANSFER OF CLAIM

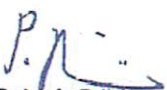
TO: THE DEBTOR AND THE BANKRUPTCY COURT

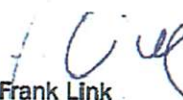
For value received, the adequacy and sufficiency of which are hereby acknowledged, **Bank Sarasin & Co. Ltd** ("Transferor") unconditionally and irrevocably transferred to Bank Sarasin & Cie AG, Hong Kong Branch ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with its claim (Claim No. 60405) in the amount of 100'000 pieces/units related to the securities with International Securities Identification Numbers listed on Schedule 1 hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED this May 03, 2013.

Bank Sarasin & Co. Ltd

  
Patrick Gribi  
Executive Director

  
Frank Link  
Vice President



**SARASIN**

Nachhaltiges Schweizer Private Banking seit 1841.

**SCHEDULE 1**

**Lehman Programs Securities Related to Transferred Claim:**

<b>ISIN</b>	<b>Court Claim</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount/Quantity of Claim related to Security to be transferred</b>
XS0303759632	60405	October 29, 2009	Lehman Brothers Treasury Co. B.V.	100'000



0929370

475013  
7076

**16 7127 3181** EXPIRY 07/13  
ORIGIN ZRH DESTINATION CODE 2XP

**EXPRESS WORLDWIDE DOX**  
**10017, United States**  
**JFK US-ZYP-TSS**

Account No. 15041983 SEP 17 2013  
Ref code Day Time Fee / Sht Weight Piece  
/ 0.50 KG 1/1

**EPIC SYSTEMS**  
WAYBILL 6 7127 3181

**2 (To Shipper)**  
Shipper's account number and insurance details  
Shipper's name: Peter Hsu  
Company name: SEPT & KATINET AG  
Address: Brändschenstrasse 90  
Zürich  
Switzerland  
Postal/Zip Code (required): 8027  
Phone, Fax or Email (required): +41 58 261 5000

**3 (To Receiver)**  
Attn: Lehman Brothers Holdings  
Claims Processing  
757 Third Avenue, 3rd Floor  
New York, NY 10017  
Country: USA  
Phone, Fax or Email (required): +1 646 282 2500

**4 (Products & Services)**  
☐ Fragile  
☐ Insured  
☐ Signature required  
☐ Restricted  
☐ Hazardous  
☐ Perishable  
☐ Live animals  
☐ High value  
☐ Other

**5 (Context description)**  
WAYBILL 6 7127 3181  
(2L)US10017 + 42000000  
(J)J001 2959 0027 9006 8769

Please ensure necessary  
made from  
recycled materials

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